

Mortgage Brokerage Registration Form

To be completed by the Principal Broker/Broker of Record

Mortgage Brokerage Information (Registered Corporate Name or Trade Name as appears on license)	
Full Corporate Name of Brokerage:	
If Sole Proprietorship Name of Registered Broker:	
Registered Trade Name of Brokerage (if applicable):	
Brokerage License Number:	
Name of Principal Broker/Broker of Record:	
Business Address:	
City:	Postal Code:
Phone:	Fax:
Email:	
How long has the above firm been licensed as a Mortgage Brokerage?	
Electronic Delivery System (i.e. D+H Velocity)	
Firm Code (i.e. D+H Firm Code)	
Name of Parent Firm/Network If Applicable (e.g. Axiom, CIMBC, DLC, Mortgage Alliance, Mortgage Centre, RMAI, Verico):	
<i>Please complete the Broker/Agent Registration Form for all brokers/agents/assistants who wish to be registered with us.</i>	
Mortgage Industry References (To be completed if less than 2 years)	
Company:	
Contact Name and Tel:	
Company:	
Contact Name and Tel:	
Information Required by Us for Payment of Finder's Fees	
<i>To ensure the accuracy of your account information, please attach a voided cheque and complete the following financial information. If finder's fees are to be paid to an intermediary or service provider, completion of the Form A: Direction to Pay Form will be required.</i>	
Name of Financial Institution:	
Address:	
Account Information:	
Name on Account:	
CAD\$ Account:	
Bank Code:	
Transit Number:	
Account Number:	
Remittance Information:	
Contact Name:	
Title/Position:	
Phone:	Fax:
<i>Note: Unless otherwise indicated here, commission details will be sent to the Brokerage based on Mortgage Brokerage Information.</i>	

October 3, 2017

MCAP Service Corporation
 Ontario Mortgage Brokerage #10515
 Ontario Mortgage Administrator #11692

MCAP Financial Corporation
 Ontario Mortgage Brokerage #10600
 Ontario Mortgage Administrator #11790

200 King Street West
 Suite 400
 Toronto, ON M5H 3T4
 Tel 416 598 2665

1 800 387 4405

Mortgage Brokerage Consent and Acknowledgement

We may collect, use, and disclose Personal Information of the Principal Broker/Broker of Record and /or Broker/Agent of the Brokerage for the purposes of:

- establishing and confirming identity, including with credit reporting agencies;
- determining Principal Broker/Broker of Record/Broker/Agent initial and continuing eligibility and suitability to deal with us;
- administering the relationship with us;
- protecting against error, misrepresentation and fraud;
- complying with legal and regulatory/self-regulatory organization requirements under applicable laws; and
- other related or reasonably ancillary purposes to those listed above.

Personal Information of Principal Broker/Broker of Record and /or Broker/Agent includes information about the credentials and conduct of Principal Broker/Broker of Record and /or Broker/Agent relevant to the contemplated relationship with us. We and its authorized agents may obtain information from and provide Information to third parties (including previous and current employers, regulators and self-regulatory organizations, industry and other third party databases) for all such purposes. We, our affiliates, and the Mortgage Brokerage may share Personal Information of the Principal Broker/Broker of Record and /or Broker/Agent with each other for these purposes including management of business risks.

The Principal Broker/Broker of Record acknowledges that we, its outside suppliers and other third parties (such as a credit reporting agency) may perform activities outside of Canada. As a result, while Personal Information may be securely used, stored or accessed in other countries, it may be subject to the laws of those countries. The Principal Broker/Broker of Record consents (and will ensure that Brokerage has obtained the same consent of any Broker/Agents) to the collection, use and disclosure of all such Personal Information for the purposes described above and the Principal Broker/Broker of Record authorizes third parties to give us Personal Information for all such purposes set out above. The Principal Broker/Broker of Record releases us, our affiliates and agents, and any person or entity which provides Personal Information in accordance with the above consent, from any and all actions, claims and demands for damages, loss, expense or injury howsoever arising which may hereafter be sustained by the Principal Broker/Broker of Record and/or Broker/Agents of the Brokerage as a result of the provision, collection, use or disclosure of any Personal Information as provided in the above consent.

Name of Principal Broker/Broker of Record (please print):

Signature of Principal Broker/Broker of Record:

Date:

Once all documentation required by us for approval of the Principal Broker/Broker of Record /Brokerage to act under and in accordance with this application has been received and processed, which includes delivery to us of two copies of the attached Brokerage Agreement signed by Principal Broker/Broker of Record, we, in our sole discretion, will determine if Principal Broker/Broker of Record /Brokerage is approved. If Principal Broker/Broker of Record /Brokerage is approved by us, confirmation of such approval will be given to Principal Broker/Broker of Record and, amongst other documentation, Principal Broker/Broker of Record will be given a fully signed copy of the Agreement.

Once such approval is given, Principal Broker/Broker of Record /Brokerage may deal with us on a non-exclusive basis for the sole purpose of soliciting, completing and submitting mortgage applications with respect to mortgage products.

Approval of Principal Broker/Broker of Record /Brokerage for purposes of this Agreement, by itself, does not constitute enrollment of Principal Broker/Broker of Record /Brokerage in any status or other incentive programs offered from time to time by us.

If approved, no relationship of principal and agent or partnership will exist between us and Principal Broker/Broker of Record /Brokerage.

At all times, we and Principal Broker/Broker of Record /Brokerage are and remain independent from one another. In no event will Principal Broker/Broker of Record /Brokerage be or considered to be an agent, employee, partner, joint venture, consultant or contractor of us.

Form A: Direction to Pay Form

To be completed if finder's fees are to be paid to an intermediary or service provider

Payment Direction and Authorization To Pay	
TO:	MCAP Service Corporation / MCAP Financial Corporation (MCAP)
AND TO:	(Administrative Broker)
RE:	Authorization to Pay Finder's Fees
<p>Whereas the undersigned has entered into an agreement with the (Administrative broker), a Brokerage registered with us to provide administrative services,</p> <p>And whereas these administrative services include administration and distribution and payment of finder's fees to registered brokers and agents of the undersigned Mortgage Brokerage,</p> <p>Now therefore the undersigned directs us to pay all finder's fees, previously payable to the undersigned, earned by the Brokers and Agents of the undersigned to (Super broker). This Payment Direction and Authorization to Pay can only be revoked by the undersigned, upon written notice to us.</p> <p>And for so doing, this shall be your good and sufficient authorization and direction.</p> <p>Dated as of _____</p>	
Mortgage Brokerage Name:	
Brokerage Owner Name:	
Brokerage Owner Signature:	
Administrative Broker Name:	
Administrative Broker Signature:	

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